Categorical colour perception occurs in both signalling and non-signalling colour ranges in a songbird

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Although perception begins when a stimulus is transduced by a sensory neuron, numerous perceptual mechanisms can modify sensory information as it is processed by an animal’s nervous system. One such mechanism is categorical perception, in which (1) continuously varying stimuli are labelled as belonging to a discrete number of categories and (2) there is enhanced discrimination between stimuli from different categories as compared with equally different stimuli from within the same category. We have shown previously that female zebra finches (Taeniopygia guttata) categorically perceive colours along an orange–red continuum that aligns with the carotenoid-based coloration of male beaks, a trait that serves as an assessment signal in female mate choice. Here, we demonstrate that categorical perception occurs along a blue–green continuum as well, suggesting that categorical colour perception may be a general feature of zebra finch vision. Although we identified two categories in both the blue–green and the orange–red ranges, we also found that individuals could better differentiate colours from within the same category in the blue–green as compared with the orange–red range, indicative of less clear categorization in the blue–green range. We discuss reasons why categorical perception may vary across the visible spectrum, including the possibility that such differences are linked to the behavioural or ecological function of different colour ranges.

1. Introduction

Colour plays a critical role in animal behaviour, from communication to foraging to camouflage [1]. Colour stimuli vary continuously and, in studies of colour perception, it is often implicitly assumed that a viewer’s perception is also continuous; that is, that any given change across a colour spectrum will result in a similar change in the viewer’s perception of that colour. This assumption is often untested, however, and increasing evidence suggests that the perception of many kinds of stimuli, including colour, can be categorical [2–8]. Categorical perception refers to a perceptual mechanism by which a sensory system sorts continuously varying stimuli into distinct groups [9]. The two hallmarks of categorical perception are that (1) continuously varying stimuli appear to be labelled as belonging to a discrete number of perceptual categories and (2) stimuli from different sides of the category boundary are discriminated more readily than stimuli that differ by a similar predicted perceptual distance, but that fall within the same category [10,11]. Whether the perception of variation in a given colour stimulus is continuous or categorical can have important implications for our understanding of the function and evolution of coloration in animals.

Categorical perception was first described in the context of the discrimination of phonemes in human speech, where it helps compensate for variation in the articulation of speech sounds in different word contexts and across different individuals [11,12]. Categorical perception has since been
shown to play a role in the perception of natural acoustic signals across a variety of animals, including frogs, crickets and birds [2–4]. Humans also perceive continuous colour variation as separate colour categories [5,6], and work with macaques has demonstrated categorical colour perception in non-human primates as well [7]. We recently described categorical colour perception in a songbird, the zebra finch (Taeniopygia guttata), the first demonstration of this phenomenon outside of primates [8]. Specifically, we showed that females categorically perceive an orange to red colour range that aligns with the carotenoid-based coloration of male beaks [8], a signal of male quality used by females to assess potential mates [13]. Songbirds represent an important study system for understanding colour perception given that they are highly visual animals that exhibit numerous adaptations for colour vision [14,15] and famously use a wide range of colours in displays across many behavioural contexts [16].

An unanswered question in the study of categorical perception is whether it occurs only in contexts where discrimination between stimulus variants has an especially important behavioural or ecological function, for example, in discriminating between different speech phonemes [11] or birdsong note types [4], predators versus conspecifics [3] or potential mates [2,8]. Alternatively, categorical perception could be a general feature of perceptual processing. Here we ask whether categorical colour perception in zebra finch females is confined to colour ranges having a signalling function or is instead a more general feature of their colour perception. We examined whether female zebra finches exhibit categorical perception in a colour range from blue to green, a part of the visual spectrum having no known signal function in this species and that is unlikely to play a role in other important behavioural contexts such as foraging or territorial defence.

2. Material and methods

(a) Subjects
Throughout, we follow methods described in [8], except we trained and tested birds with colours ranging from blue to green, rather than with colours that ranged from orange to red. Also, the blue–green colours were of approximately equal brightness (as would be detected by zebra finch photoreceptors [17]), whereas the orange–red colours used in [8] varied in brightness as well as hue in order to match natural variation in zebra finch beak coloration. We used 17 birds in this study, all of which were sexually mature female zebra finches (age range: 15–62 months at the start of experimental testing) from a colony maintained by Dr Richard Mooney (Duke University, Durham, NC; IACUC: A258-14-10). All of the birds used in this study had previously been used in a study of the colour perception of an orange–red range [8] and were re-trained on blue–green stimuli at the start of the present study. Later retesting on the original orange–red range showed that successive training on additional colour ranges results in no change in the categorical perception previously observed (electronic supplementary material, figure S1). Housing and diet were as described in [8] (Duke University, Durham, NC; IACUC: A004-17-01).

(b) Selecting colour stimuli
Colour stimuli were made using Munsell colour paper (Pantone LLC, Carlstadt NJ, USA). We calculated relative zebra finch photon catch (Q), a measure of photoreceptor stimulation, for 152 shades of blue, green and blue–green Munsell colours, over wavelengths (λ) from 300 to 700 nm, using the following formula:

\[ Q_\lambda = \frac{S_\lambda}{I_\lambda} R_\lambda \]

where \( S_\lambda \) is the sensitivity of receptor type \( r \), \( R_\lambda \) is the reflectance of colour \( c \) and \( I_\lambda \) is the irradiance of the illuminant. We used normalized sensitivity data from the zebra finch [17,18] to calculate photon catches for the SW, MW, LW and double cones. We also calculated relative photon catches for the ultraviolet (UV) cone and confirmed that our stimuli viewed under experimental lighting conditions did not result in substantial photon catch by the UV cone (UV photon catch represented less than or equal to 2.6% of total photon catch). Because of the minor contribution of the UV cone to total photon catch, we did not further consider the contribution of UV light to birds’ perception of our stimuli.

Reflectance spectra from each colour sample were measured using an integrating sphere with a built-in tungsten-halogen light source (ISP-REF; Ocean Optics Inc., Dunedin, FL). All measurements were taken with reference to a Spectralon 99% white reflectance standard (Labsphere, Congleton, UK). As our measure of ambient light, we used a standard tungsten bulb illuminance spectrum (CIE Illuminant A, colour temperature 2856 K; spectrum in electronic supplementary material), which is similar to the spectrum of ambient light provided by the halogen bulbs in our experiment (colour temperature 2900 K, model number HPC-61361, Philips Lighting, Somerset, NJ; raw spectra in electronic supplementary material). Lastly, we calculated the relative quantum catch of the zebra finch double cone [17] for each Munsell colour, since it is thought that in birds the double cones encode brightness information [19,20] (reviewed in [21]).

We also measured the ambient light of the room in which we performed our behavioural trials (see spectrum in electronic supplementary material) using a spectrometer (USB 2000; Ocean Optics Inc.; average 3000 scans per spectrum, 1000 scans per second, spectral resolution = 0.1 nm), calibrated using a tungsten light source with a colour temperature of 3100 K. Calculations of quantum catch and chromatic distance (see below) were unchanged if we made calculations using measures of the halogen ambient light present in our experimental room instead of Illuminant A (electronic supplementary material, table S1). We have reported the distances calculated using Illuminant A so as to maximize the replicability of our calculations.

We then used the receptor noise-limited (RNL) model [22] to calculate the chromatic distance (ΔS), a measure of predicted discrimina-

sibility, between colours. ΔS is sometimes equated with just-noticeable differences (JNDs); however, here we refer only to ΔS throughout, as behaviourally measured JNDs are often greater than ΔS = 1 (e.g. honeybees [23]). We then plotted chromatic distances between colours in a perceptually uniform, two-dimensional chromaticity space for tri-chromats (appropriate here because we did not include UV quantum catches) in which Euclidean distance corresponds to the model-derived ΔS [23]. From this visualization, we selected seven colours that (1) covered the entire Munsell range from the most blue (colour 1) to the most green (colour 7); (2) were of approximately equal brightness as measured by zebra finch double cone [17] quantum catch; (3) were separated by approximately equal ΔS (figure 1); and (4) covered approximately the same total range in ΔS (total = 19.2) as the previously used orange–red colours (total = 19.1; see [8] for details).

(c) Experimental protocol
We tested perception using a protocol in which birds remove discs covering wells to access a food reward. Discs were made
of two semicircles of Munsell colour paper, glued together to form a circle and then covered with a clear epoxy cover. Disc halves were either different (bicolour) or the same (solid) in colour. During trials, barriers were placed between cages such that birds could not see one another perform the task, and halogen lights and a vellum paper diffuser above each cage provided consistent, even lighting. We then presented each bird with a grid of 12 wells, of which six were covered, two by bicolour discs and four by solid discs (two of each colour in the bicolour discs).

Using discs comprising colours 1 and 7 (1/7) and using a food reward to bait only bicolour discs, we trained the birds to search for food under discs they perceived as comprising two different colours (see [8,24] for details on stages used to train birds on the disc-flipping task). Birds passed a trial if they flipped both bicolour discs before flipping any solid discs within 2 min. We chose this pass criterion because birds would be expected to flip both bicolour discs first by chance in only 1/15 of trials (our results for # trials; black dots and black dashed line represent pass frequency data for each color combination. The locations of these large changes yield hypotheses for where category boundaries might exist. For example, if birds passed 1/3 trials much more frequently than they passed 1/2 trials and also passed 2/3 trials much more frequently than they passed 3/7 trials, those results would suggest a category boundary between colours 2 and 3.

(e) Discrimination tests
After identifying putative boundaries using labelling tests, we performed discrimination tests, which assess whether discrimination of two colours is enhanced when those colours fall on opposite sides of the putative category boundary as compared to equally spaced colours that both fall on the same side of the putative boundary. In each discrimination trial, birds were asked to discriminate between colours that were either one colour apart (e.g. 2/3, 3/4, 4/5, etc.), two colours apart (e.g. 2/4, 3/5, 4/6, etc.), three colours apart (e.g. 1/4, 2/5, 3/6, 4/7) or four colours apart (e.g. 1/5, 2/6, 3/7). As with the labelling trials, each bird was given 10 discrimination trials with each colour combination.

(f) Statistical analyses
We analysed discrimination tests by comparing the average pass frequency of birds when comparisons either did or did not cross the putative boundary. For each bird, we first calculated the average pass frequency for all discrimination trials of a given perceptual distance that crossed the boundary and then compared this rate with that bird’s average pass frequency for trials of the same distance that did not cross the boundary. We used paired two-sided t-tests to test for differences in pass frequency in those comparisons that either did or did not cross the putative boundary. We used the lme4 package [26] in R.
to build a mixed-effects model examining the effect of each colour step on birds’ pass frequency, described below. All data met the assumptions of parametric tests.

3. Results

(a) Labelling tests
We found that pass frequency generally increased with increasing chromatic distance (electronic supplementary material, figure S6 and table S4) between colours 1 or 7 and the comparison colour. However, we observed large changes in pass frequency at two points along our colour continuum, suggestive of potential perceptual boundaries between colours 2 and 3 and between colours 4 and 5 (figure 2). Pass frequency for 1/3 was 29 percentage points higher than pass frequency for 1/2, and pass frequency for 7/2 was 30 percentage points higher than for 7/3. Similarly, pass frequency for 1/5 was 31 percentage points higher than for 1/4, and for 7/4 was 19 percentage points higher than for 7/5 (figure 2). The mean (and median) increase in pass frequency between all other colour steps on the blue–green continuum was only 6 percentage points (standard deviation ± 7 percentage points; see electronic supplementary material, figure S3). Overall, our labelling results indicated that the 2–3 and 4–5 colour steps had greater effects on pass frequency than any other colour steps, leading to the hypothesis that category boundaries existed at one or both of these locations. We tested this hypothesis using discrimination data and a linear mixed-effects model.

(b) Discrimination tests
For discrimination comparisons of colour pairs that were one step apart, the pass frequency for the colour pair that crossed the 2–3 boundary was 24 percentage points higher than the mean pass frequency of all comparisons that did not (figure 3a; 95% confidence interval = 12–36 percentage points; paired two-tailed t-test: \( t = 4.28, p = 0.0008 \), Bonferroni-corrected \( \alpha = 0.025 \)). Likewise, for the two-apart comparisons, the pass frequency for comparisons that crossed the 2–3 boundary was on average 12 percentage points higher than comparisons that did not (figure 3b; 95% confidence interval = 6–17; paired two-tailed t-test: \( t = 4.49, p = 0.0004 \), Bonferroni-corrected \( \alpha = 0.025 \)), providing support for a category boundary between colours 2 and 3. Results for the three-apart comparisons were similar (electronic supplementary material, figure S4).

By contrast, we found no support for a category boundary between colours 4 and 5. Pass frequency for the one-apart comparison that crossed the 4–5 boundary was only six percentage points higher than the mean of comparisons that did not, a non-significant difference (figure 3a; 95% confidence interval = −2–15; paired two-tailed t-test: \( t = 1.56, p = 0.14 \), Bonferroni-corrected \( \alpha = 0.025 \)). Similarly, two-apart comparisons crossing the 4–5 boundary had a pass frequency only two percentage points higher than the mean of comparisons that did not, also a non-significant difference (figure 3b; 95% confidence interval = −2–6; \( t = 1.1, p = 0.29 \), Bonferroni-corrected \( \alpha = 0.025 \)). In sum, examining the one- and two-apart discrimination data supported the hypothesis that a category boundary exists between colours 2 and 3, but not between 4 and 5. Results for the three-apart comparisons were similar (electronic supplementary material, figure S4).

(c) Linear mixed model of combined labelling and discrimination data
Discrimination tests, which demonstrate enhanced discrimination of stimuli across a category boundary relative to stimuli that fall within a category, are considered a hallmark of demonstrating categorical perception [9,27]. However, because we tested for differences in discrimination across two putative boundaries simultaneously, we were unable to fully isolate the effects of the 2–3 or 4–5 boundary using the analysis described above. For example, the collection of two-apart discrimination trials that did not cross the putative 4–5 boundary also included tests of birds’ ability to discriminate 1/3 and 2/4, which did cross the putative 2–3 boundary. Similarly, two-apart trials that did not cross the putative 2–3 boundary include 3/5 and 4/6 trials, which did cross the putative 4/5 boundary. To address the non-independence between trials that did or did not cross the 2/3 and 4/5 boundaries, we built a linear mixed-effects model that combined data from all of our trials. This model isolated the effects of each colour step and estimated the effect of each colour step on pass frequency, independent of other colour steps.

For example, a two-apart trial with 2/4 bicolour discs includes steps 2–3 and 3–4, while a three-apart trial using 2/5 bicolour discs includes steps 2–3, 3–4, and 4–5. The difference in a bird’s pass frequency in 2/5 trials as compared with 2/4 trials therefore provides information that helps the model estimate the effect of specifically crossing the 4/5 boundary. Combining all of our labelling and discrimination data into a single model gives a more reliable estimate of the contribution of each colour step to pass frequency than separate analyses of the one-apart, two-apart or three-apart data.

The model included pass frequency for a given comparison as the response variable, each of the six colour steps as binary fixed effects and bird ID as a random effect (table 1).

If perception over the blue–green range is continuous, we would expect an approximately equal contribution to pass frequency for each colour step. By contrast, the presence of category boundaries at 2–3 and/or 4–5 would be supported if one or both of these colour steps contribute significantly more to birds’ ability to distinguish two colours than the other colour steps (1–2, 3–4, 5–6 and 6–7). We found that different colour steps yielded different contributions to pass frequency, with the 2–3 colour step having the largest effect (table 1, figure 4a), and a model that included each colour step performed much better than a model that considered only chromatic distance (electronic supplementary material, table S4, ΔAIC = 142). Importantly, the colour steps with the greatest effect on pass frequency were not those that span the greatest chromatic distance (figure 4a, electronic supplementary material, figure S5). The effect of the 2–3 step was significantly greater than each of the other steps, including the 4–5 colour step (two-tailed \( t \)-tests, \( t \geq 2.9 \) in all cases, \( p \leq 0.004 \) in all cases, Bonferroni-corrected \( \alpha_{S} = 0.01 \)). By contrast, the effect of the 4–5 step was significantly smaller than the effect of the 2–3 step and was not significantly greater than the 3–4 colour step (two-tailed \( t \)-test, \( t = 2.15, p = 0.03 \), Bonferroni-corrected \( \alpha = 0.013 \)), although it was significantly greater than the 1–2, 5–6 and 6–7 steps (two-tailed \( t \)-tests, \( t \geq 2.9, p \leq 0.003 \),
Bonferroni-corrected $\alpha = 0.01$). Taken together, these results support the presence of categorical perception of colour over the range of blue–green colours that we tested, with a perceptual boundary between colours 2 and 3. While our labelling data showed that pass frequency increased between colours 4 and 5, neither our discrimination data nor our mixed-effects models offer strong support for a perceptual boundary between these colours.

**Table 1.** Point estimates and 95% confidence intervals of the contribution of each colour step to birds’ pass frequency in separate analyses of blue–green (present study) and orange–red (data from [8]). Colour steps that have significantly greater effects than any other colour step along their respective colour range (category boundaries) are noted in italics (see main text for statistical tests).

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<tr>
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**Figure 3.** Discrimination of stimuli that are (a) one and (b) two colour steps apart. In both panels, pass frequency was higher for comparisons that cross the 2–3 boundary (in (a), 2/3; in (b), 1/3 and 2/4) compared with comparisons that do not. However, there is not a similar increase for comparisons crossing the 4–5 boundary compared with those that did not. For each comparison, the median (horizontal line), 25 and 75th percentiles (boxes) and 1.5× interquartile range (whiskers), for pass frequency are presented. The grey horizontal line shows expected pass frequency if birds flip discs at random.

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(d) Comparing blue–green and orange–red
categorical perception

Our model suggests that categorical perception in the blue–green colour range is less well-defined than what we observed previously in the orange–red range; that is, it appears that within-category discrimination was greater along the blue–green colour range as compared to the orange–red colour range. We tested this possibility by using data from [8] to create a linear mixed effects model for our orange–red dataset that allowed us to directly compare categorical perception in the two colour ranges (table 1 and figure 4).

This model, which was identical in structure to that used for the blue–green data, confirmed the presence of the orange–red category boundary we described previously [8], with the effect of the 5–6 colour step in the orange–red dataset being significantly greater than the effect of the second highest colour step and thus each of the other five steps as well (figure 4b, two-tailed t-test, $t = 4.8, p < 0.0001$, Bonferroni-corrected $\alpha = 0.007$). Both visual and quantitative comparisons of the blue–green and orange–red models suggest that there is greater within-category discrimination in the blue–green dataset as compared with the orange–
Our discovery that colours across a wide range of perception in macaques [7] and in prelingual infants (e.g. [5,29]) was challenged with the discovery of categorical colour with the use of language to name colours (e.g. [30,31]). Our results indicate that zebra finch females exhibit categorical perception of colours in the green range, but with greater categorical perception is less strongly expressed in the blue–green range as compared with discrimination within the categories we identified in the blue–green range as compared with comparisons of which other animals capable of colour vision might also exhibit this perceptual mechanism.

Our finding that categorical perception in the blue–green range differs from what we observed previously for orange–red colouration parallels findings on human colour categorization. Humans are known to exhibit categorical colour perception [32–35], and although no study has directly compared categorical perception in an orange–red versus a blue–green colour range in humans, our ability to categorize and discriminate colours is less well defined in the blue–green than in the orange–red (e.g. [36]). In humans, this difference could be the consequence of physiological constraints (i.e. the wavelength discrimination function of photoreceptors [37]) or, as some have argued, due in part to the consequence of linguistic constructs. For example, some languages use only a single colour term to describe the entire blue–green region [38,39], and across diverse languages the most common semantic identity—that is, when the same word is applied to two or more colours—equates blue and green [40].

Linguistic constraints would not apply to zebra finches, of course, but several explanations could account for the differences we observed in categorical perception in the blue–green versus orange–red colour ranges. First, the orange–red colours used in our earlier study varied in brightness as well as hue in order to match the range of natural male zebra finch beak colours, which also vary in brightness [8]. By contrast, the blue–green colours used here were approximately equiluminant, as indicated by cone catch for the zebra finch double cone [17]. Brightness alone did not account for the categorical perception we observed in the orange–red range, as shown by experiments performed with hue information removed [8], but brightness differences may provide additional information that birds use when categorizing the orange–red colours associated with male beaks, yielding better-defined categories.

Another possible reason for the presence of less distinct categories in the blue–green range relates to the possibility...
that the evolution of visual perception in general has been influenced by the reflectance properties of natural objects (e.g. [36,41]). In terrestrial environments, light reflected from many natural objects (for example tree bark, dead leaves, flowers, fruits or melanin- and carotenoid-based coloration typical of many animals) contains more variation in the long-wavelength portion of the visible spectrum than the short-wavelength portion [42]. Thus, categorical colour perception by terrestrial organisms overall may be better defined for long-wavelength coloration (i.e. orange–red) given the reflectance spectra of the environments in which they evolved.

Finally, the differences in categorical perception along the blue–green and orange–red colour ranges may occur because carotenoid-based coloration is especially salient for female zebra finches, given its role in visual signalling [43,44]. Previous studies have shown the categorical perception of behaviourally or ecologically important stimuli, for example, in discriminating between different speech phonemes [11] or birdsong note types [4], predators versus conspecifics [3], or potential mates [2,8], but studies have not compared the perception of similar stimuli across different functional contexts. Male zebra finch beak colours range from light orange to dark red [13], and females show a mating preference for males having redder beaks [43,44], a preference thought to be driven by the fact that the expression of carotenoid-based red coloration correlates positively with variation in cell-mediated immunity in this species [13,45,46]. The sharper category boundary observed in the orange–red colour range may therefore be the result of selection on communicative function in the context of mate choice.

Further work is needed on categorical colour perception with species that do not use carotenoid coloration in signalling, or that use other colours instead, to better understand if and how selection for communication or other behavioural functions may impact the expression of this perceptual mechanism. Additional work is also needed to understand the mechanisms by which categorical perception occurs and to elucidate the conditions under which it is most likely to be observed. Our conclusions about categorical perception hinge on differences between measured behavioural responses to colour stimuli and predicted chromatic distance from the RNL model, which only considers visual inputs at the photoreceptor level. The RNL model is agnostic regarding any processing of inputs above the photoreceptor level. Both the results we present here and our previous findings in the orange–red range suggest that categorical perception is likely to be the result of processing beyond the photoreceptor, although exactly how this processing occurs remains an open question. Specifically, our results (electronic supplementary material, figure S6) suggest that, using this discrimination assay, behavioural colour discrimination fits the predictions of categorical perception when two colours differ by less than some chromatic distance threshold. Under our experimental conditions, colour pairs whose difference exceeds this threshold all appear to be readily discriminable.

References


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